

Customer Account Information

Thank you for choosing FX DIRECT DEALER, LLC "FXDD". To make it easy and convenient to open your account, we've included all the necessary forms in this application packet. The instructions to complete your account approval process are:

Step 1: Complete the Customer Account Application and SIGN the Customer Application. Carefully read the Customer Agreement, the Risk Disclosure Statement and the Trading Rules and Regulations. SIGN the acknowledgement for the Customer Agreement, Risk Disclosure Statement and Trading Rules and Regulations. Submit the completed and signed Customer Account Application (in its entirety) and the Signature Page for the Customer Agreement, Risk Disclosure Statement and Trading Rules and Regulations.

Step 2: Verify & Submit Additional Personal Information. In response to the US Patriot Act, it is FXDD's policy to verify personal information provided on this application. Required of all authorized signers on this account.

- U.S. Residents: At this time you are not required to submit photo IDs. We will advise you if additional identification information is required (acceptable forms of IDs: driver's license, national ID card, passport).
- Non U.S. Residents: Please submit two (2) forms of identification, including one (1) photo ID (i.e. passport or any other government-issued document evidencing nationality or residence and bearing a photograph), AND one (1) proof of address as represented on this application (i.e. utility bill, driver's license, bank statement, etc.). Required of all authorized signers on this account.

Step 3: Send your completed, signed application (in its entirety) and signed standard documents, along with required photo ID(s) to FXDD:

via FAX or e-mail (**for expedited processing**)

ATTN: New Accounts

Fax: 212.937.3845

applications@fxdd.com

via Mail:

Customer Accounts

FXDD

7 World Trade Center 32nd Floor

New York, NY 10007

Step 4: Fund Your Account. *Cash deposits are not accepted, which includes money orders, traveler's checks or other cash equivalents. Under no circumstances will FXDD make or receive payments via third parties. There are five easy ways to fund your account:*

a) Wire Transfer - The fastest way to fund your account

Bank wires are typically received and credited to client's account within 1-2 business days.

Bank of America
One Bryant Park
New York, NY 10036
ABA: 026009593
Account: 483043564120
Swift: BOFAUS3N

Special Instructions: (Please specify your name and FXDD account information here)

- b) Debit/Credit** - Once received, funds are credited to client's account within 1-2 business days.
- c) Certified Bank or Cashier's Check** - Once received, Certified Bank or Cashier's Checks are typically credited to client's account within two (2) business days.
- d) Personal or Business Check** - Funds sent via personal or business check take 5-10 business days (from date of receipt) to clear and be credited to client's trading account, according to our banking partner's posted schedule. This can vary depending on the bank and state of issue. International checks may take several weeks to clear.

Please make checks payable to FXDD.

An FXDD representative will contact you when your application has been approved and you have been cleared for trading. If you have questions or require assistance completing the application, please call us at 1.866.FOR.FXDD (367.3933) (toll free within North America) or + 1.212.791.FXDD (3933) (int'l) or email us at sales@fxdd.com

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Skip this section if you are applying for an Individual or Corporate Account

**3. PERSONAL INFORMATION
(CO-APPLICANT, OR ADDITIONAL CORPORATE REPRESENTATIVE) - Required**

First Name:	Middle Name:	Last Name:
Date of Birth (MM/DD/YYYY):	Social Security or Tax ID #:	Home Telephone:
Home Address (P.O. Boxes are not accepted):		
City:	State/Region:	ZIP/Postal Code:
ID Type: <input type="checkbox"/> Driver License <input type="checkbox"/> Passport <input type="checkbox"/> National ID Card	ID Number:	
U.S. Citizen? <input type="checkbox"/> YES <input type="checkbox"/> NO If NO, what country? _____		

4. EMPLOYMENT STATUS (PRIMARY ACCOUNT HOLDER) - Required

(Please check one)	<input type="checkbox"/> Employed	<input type="checkbox"/> Self-employed	<input type="checkbox"/> Retired
	<input type="checkbox"/> Other	<input type="checkbox"/> Unemployed	

If you select Employed, please provide Employer and Position.

If you select Self Employed, please provide Type of business.

If you select Retired, Unemployed, Other, please provide Source of funds.

Employer's Name:		
Nature of Business:	Position:	Source of Funds:

Are you currently, or have you ever worked for a brokerage firm, bank, or financial institution? YES NO

***If you are using retirement funds for trading capital, in order for you to be considered for an FXDD trading account, you will be required to complete and sign an "[Additional Risk Disclosure Document](#)" (Applies to Primary and Co-Applicant)

Skip this section if you are applying for an Individual or Corporate Account

5. EMPLOYMENT STATUS (CO-APPLICANT) - Required

(Please check one)	<input type="checkbox"/> Employed	<input type="checkbox"/> Self-employed	<input type="checkbox"/> Retired
	<input type="checkbox"/> Other	<input type="checkbox"/> Unemployed	

If you select Employed, please provide Employer and Position.

If you select Self Employed, please provide Type of business.

If you select Retired, Unemployed, Other, please provide Source of funds.

Employer's Name:		
Nature of Business:	Position:	Source of Funds:

Are you currently, or have you ever worked for a brokerage firm, bank, or financial institution? YES NO

6. FINANCIAL INFORMATION - Required

Estimated Annual Income (in USD):

Net Worth (in USD) (estimated total value of all your assets minus your liabilities):

Customers should use only their own available Risk Capital for trading foreign exchange. Risk Capital means funds, which if lost, would not change your lifestyle or your family's lifestyle. This information is necessary to assist FXDD in assessing your suitability for trading foreign currencies. Completing the information will not have the effect of limiting you potential losses to the amount indicated.

Have you ever declared bankruptcy?

YES NO

Bankruptcy Details

***If your annual income is less than \$25,000 you will be required to complete and sign an "[Additional Risk Disclosure Document](#)" (Applies to Primary and Co-Applicant).

7. PREVIOUS TRADING & INVESTMENT EXPERIENCE - Required

Foreign Exchange (Check one):	<input type="checkbox"/> None	<input type="checkbox"/> 0-6 Months	<input type="checkbox"/> 6 mo-2 yrs.	<input type="checkbox"/> 2 yrs. and above
Stocks/Equities & Stock Options (Check one):	<input type="checkbox"/> None	<input type="checkbox"/> 0-6 Months	<input type="checkbox"/> 6 mo-2 yrs.	<input type="checkbox"/> 2 yrs. and above
Bonds (Check one):	<input type="checkbox"/> None	<input type="checkbox"/> 0-6 Months	<input type="checkbox"/> 6 mo-2 yrs.	<input type="checkbox"/> 2 yrs. and above
Commodities & Futures and Future Options (Check one):	<input type="checkbox"/> None	<input type="checkbox"/> 0-6 Months	<input type="checkbox"/> 6 mo-2 yrs.	<input type="checkbox"/> 2 yrs. and above
Options (Check one):	<input type="checkbox"/> None	<input type="checkbox"/> 0-6 Months	<input type="checkbox"/> 6 mo-2 yrs.	<input type="checkbox"/> 2 yrs. and above

***If you do not have at least 6 months of futures, securities, or foreign exchange investment or trading experience, in order for you to be considered for an FXDD trading account, you will be required to complete and sign an "[Additional Risk Disclosure Document](#)" (Applies to Primary and Co-Applicant).

8. SOCIAL SECURITY OR TAX ID CERTIFICATION & BACK UP WITHOLDING STATEMENT (U.S. CLIENTS ONLY)

Under penalties of perjury, I (We) the undersigned client, certify (1) the Social Security or Tax Identification number shown on this application agreement is the correct Social Security or Tax Identification number and (2) the ownership, or beneficiary, of this account is not subject to backup withholding under the Section #3406 (a)(1)(C) of the Internal Revenue Code.

9. MISCELLANEOUS ACCOUNT INFORMATION - Required

Will any person or entity other than the applicants of this account have control over or manage the trading account?

Yes No

If "Yes" please list the name(s) and relationship(s):

Will any person or entity other than the account holder, and joint account holder, have a financial interest in the account?

Yes No

If "Yes" please list the name(s) and relationship(s)

Has there been, or is there currently, pending litigation between you and ANY brokerage firm, exchange, state or federal agency, Forex firm, securities firm or registered representative of any of the preceding?

Yes No

10. SIGNATURES - Required**DECLARATION OF SOCIAL SECURITY OR TAX IDENTIFICATION NUMBER**

By checking "I AGREE" the customer hereby certifies, under penalty of perjury that the number shown on this form is the Customer's correct Social Security or Taxpayer Identification Number AND the ownership, or beneficiary, of Customer's account is not subject to backup withholding under Section 3406(a)(1)(c) of the US Internal Revenue Code.

I Agree

CUSTOMER ACCOUNT APPLICATION ACCEPTANCE

By checking "I AGREE" you acknowledge that you have read and filled out this Customer Account Application and that you certify, represent and warrant that the information provided us correct and complete.

I Agree

NON-SOLICITATION ACCEPTANCE

By checking "I AGREE" you acknowledge that, the funds to be deposited in my account are my property and have not been solicited from a third party.

I Agree

CUSTOMER INFORMATION: I (We) hereby represent that the information provided in this application document is true and correct. I (We) further represent that I (We) will notify FXDD of any material changes in writing. FXDD reserves the right, but has no duty, to verify the accuracy of information provided, and to contact such bankers, brokers and others as it deems necessary.

Account Holder Signature

Joint Account Holder Signature (If Applicable)

Print Client Name

Print Client Name

Date (MM/DD/YYYY)

Date (MM/DD/YYYY)

Please fax a signed copy to: +1.212.937.3845, or sign and scan a copy to sales@fxdd.com